Receipt date: 07/20/2006

10/586.594 - GAU: 3744

IAP11 Rec'd PCT/PTO 20 JUL 2006

Docket No.

292504US40PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Naohisa HIGASHIYAMA

SERIAL NO: New U.S PCT Application Based on PCT/JP05/06000

GAU:

FILED:

Herewith

EXAMINER:

FOR:

HEAT EXCHANGER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Richard D. Kelly

Registration No. 27,757

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) Surinder Sachar

Registration No. 34,423

10586594 - GAU: 3744

Receipt date: 07/20/2006

ΑZ

Examiner

/Terrell Mckinnon/

10/586594

Additional References sheet(s) attached

Date Considered

02/22/2010

IAP11 Rec'd PCT/PTOHET JUL Son6 SERIAL NO. ATTY DOCKET NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 (Modified) New U.S PCT Application 292504US40PCT Based on PCT/JP05/06000 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Naohisa HIGASHIYAMA **GROUP** FILING DATE Herewith **U.S. PATENT DOCUMENTS** FILING DATE **EXAMINER** DOCUMENT SUB CLASS NAME DATE NUMBER **CLASS** IF APPROPRIATE INITIAL AA AB AC ΑD ΑE AF AG ΑH ΑI AJ ΑK ΑL AM ΑN **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT COUNTRY DATE NUMBER YES NO JP(with English abstract & equivalent of US NO /T.M./ AO 2003-075024 03/12/03 2004/159121) NO JP(with English abstract) ΑP 2002-340495 11/27/02 /T.M./ NO 09/02/94 JP(with English abstract) AQ 06-241614 /T.M./AR AS AT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.